

SETTLEMENT INSTRUCTION
Client Participation Account

CONFIDENTIAL

TO : **HANI SECURITIES (H.K.) LIMITED**
Tel. (852) 28691318 / Fax. (852) 28680699

CLIENT'S NAME :

CLIENT'S ACCOUNT NO. :

CLIENT'S CCASS A/C NO. :

SETTLEMENT INSTRUCTION VIA CCASS - CLIENT PARTICIPATION A/C

INSTRUCTION TYPE : RECEIVE from Client or DELIVER to Client

SETTLEMENT DATE :

<u>Stock Code</u>	<u>Stock Name</u>	<u>No. of Shares</u>

PAYMENT INSTRUCTION : Free Of Payment or Delivery Against Payment

MONEY VALUE : HKD

PURPOSE OF SI : I (INVESTOR-INTERMEDIARY) or
L (STOCK BORROWING / LENDING) or
P (STOCK PLEDGING) or
M (PORTFOLIO MOVEMENT)

INTERNAL TRN REF. :

REMARKS :

CLIENT SIGNATURE :

CLIENT HKID / Passport :

For Office Use Only

Instruction date received	
Instruction time received	
Instruction received by	