

SETTLEMENT INSTRUCTION

CONFIDENTIAL

TO : .....

FROM : .....

CLIENT A/C NO. : .....

**SETTLEMENT INSTRUCTION VIA CASS**

INSTRUCTION TYPE : RECEIVE from Counterparty or DELIVER to Counterparty

COUNTERPARTY : .....

Contact Person / Tel. : .....

SETTLEMENT DATE : .....

<u>Stock Code</u>	<u>Stock Name</u>	<u>No. of Shares</u>

PAYMENT INSTRUCTION : Free Of Payment or Delivery Against Payment

MONEY VALUE : HKD .....

CLIENT A/C NO. : .....

PURPOSE OF SI : C (BROKER-CUSTODIAN TRN) or  
L (STOCK BORROWING / LENDING) or  
P (STOCK PLEDGING) or  
M (PORTFOLIO MOVEMENT)

DI REQUIRED : NO or YES

INTERNAL TRN REF. : .....

REMARKS : .....

CLIENT SIGNATURE : .....

CLIENT HKID / Passport : .....

*For Office Use Only*

Instruction date received	
Instruction time received	
Instruction received by	